

Subrecipient Statement of Collaborative Intent

Part II: To be Completed by Subrecipients/Subcontractors NOT participating in the FDP Clearinghouse Pilot

See [list of participating FDP organizations](#).

Certifications	
1.	<p>Facilities & Administrative Rates included in this proposal have been calculated based on the following:</p> <p>Our federally negotiated F&A rate for this type of work. No federal negotiated rate and we hereby agree to accept the 10% de minimis MTDC rate as a subrecipient. In the case of NIH: NIH will continue to reimburse F&A costs to foreign and international organizations at a rate of 8 percent of modified total direct costs (MTDC) less only equipment.</p> <p>A reduced F&A rate dictated by the prime sponsor that we hereby agree to accept. Rate: <input type="text"/> Base: <input type="text"/></p> <p>Not applicable (no indirect cost are requested). If checked, please specify rationale in Comment Section below. Indirect costs are not separately requested as costs are fully burdened.</p>
2.	<p>Fringe Benefit Rates included in this proposal have been calculated based on the following:</p> <p>Rates are consistent with our federally negotiated rates. Other rates (please specify in Comment Section below the basis on which the rate has been calculated) Fringe Benefits are not separately requested as costs are fully burdened.</p>
3.	<p>Financial Conflict of Interest</p> <p>HMH Hospitals Corporation requires that a subrecipient conducting funded research maintain an up-to-date, written, and enforced policy on financial conflicts of interest. The subrecipient must disclose in writing any potential conflict of interest to HMH Hospitals Corporation in accordance with HMH's policy and the applicable awarding agency policy. Please check one box below.</p> <p>Subrecipient organization/institution hereby certifies that it has an active and enforced conflict of interest policy that conforms to 42 CFR Part 50.604 Subpart F and that all identified conflict of interest have or will have been satisfactorily managed, reduced, or eliminated in accordance with subrecipient's conflict of interest policy prior to the expenditure of any funds under any resulting agreement.</p> <p>Subrecipient does not have a PHS-compliant FCOI policy, and we agree to follow the Conflict of Interest policy established and enforced by Hackensack Meridian Health.</p>
4.	<p>Ethics in Research Training</p> <p>Applicable to projects funded by NSF or any other programs requiring Ethics in Research Training.</p> <p>Not applicable because this project is not being funded by NSF or any other programs requiring Ethics in Research Training.</p> <p>Subrecipient organization/institution will ensure that all undergraduates, graduate students, and postdoctoral researchers who will be supported by this NSF proposal will be trained on the oversight in the responsible and ethical conduct of research.</p>
5.	<p>Debarment, Suspension, Proposed Debarment</p> <p>Are the Subrecipient Institution or any of its principals who are participating in this project debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from receiving funds from any federal department or agency, or delinquent on any federal debt? Yes No</p> <p>If YES, please explain in Comment Section below. If NO, the Organization Certifies it (answer all questions below):</p> <p>is is not presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts;</p> <p>is is not presently indicted for, or otherwise criminally or civilly charged by a government agency;</p> <p>has has not within three (3) years preceding this offer, been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commissions of contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification, or destruction of records, making false statements or receiving stolen property;</p> <p>has has not within 3 years preceding this offer, had one or more contracts terminated for default by any federal agency.</p>

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Audit Status

1. Was the subrecipient required to conduct an annual audit in accordance with the Single Audit Act or Uniform Guidance Subpart F, Audit Requirements for the most recent Audit year? Yes No
a) Was an audit in accordance with the Single Audit Act completed for the most recent fiscal year? Yes No

If **YES** is checked, a complete copy of subrecipient's most recent audit report, or the Internet URL link to a complete copy, must be furnished to HMH CDI before a subaward will be issued. URL:

If **no audit was completed OR if Subrecipient is not subject to the Single Audit Act or Uniform Guidance**, a limited-scope audit will be reviewed and approved before a subaward can be issued.

- b) Were there any audit findings reported? Yes No If **YES**, please clarify in the comment section below.

Subrecipient Institutional Information

Federal policy requires subrecipients of federal funds to be registered in SAM

1. Is subrecipient currently registered in Central Contractor Registration via [SAM](#)? Yes No
If **NO**, organizations that have not registered with CCR will need to obtain a Unique Entity Identifier (UEI) number first and then access the CCR online registration through the SAM (System for Award Management) [home page](#) (U.S. organizations will also need to provide an Employer Identification Number from the Internal Revenue Service that may take an additional 2-5 weeks to become active). Completing and submitting the registration takes approximately one hour to complete and your CCR registration may take 3-5 business days to process. Subrecipient *must* maintain current CCR information in SAM.
2. EIN #:
3. Be sure that the UEI number is entered on page 1.

Comment